

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(d))--NONPROFIT ORGANIZATION

Docket Number
GRI-99-007

Applicant or Patentee: James T. COLE et al.
 Serial or Patent No.: 09/893,327
 Filed or Issued: 27 June 2001
 Title: CONVECTION OVEN HAVING MULTIPLE AIRFLOW PATTERNS

COPY OF PAPERS
ORIGINALLY FILED

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION Gas Research Institute
 ADDRESS OF NONPROFIT ORGANIZATION 1700 South Mount Prospect Road
Des Plaines, Illinois 60018

TYPE OF NONPROFIT ORGANIZATION:

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- ☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))
- ☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
 NAME OF STATE _____
 CITATION OF STATUTE _____
- ☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
- ☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
 NAME OF STATE _____
 CITATION OF STATUTE _____

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities and that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below:

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Thomas C. O'Laughlin
 TITLE IN ORGANIZATION OF PERSON SIGNING General Counsel and Secretary
 ADDRESS OF PERSON SIGNING 1700 South Mount Prospect Road, Des Plaines, Illinois 60018
 SIGNATURE Thomas C. O'Laughlin DATE January 25, 2002

COMBINED DECLARATION, POWER OF ATTORNEY AND PETITION

We, the inventors,

1. Name: James T. COLE
 Residence: Algonquin, Illinois
 Post Office Address: 1940 Aspen Drive
 Algonquin, Illinois 60102
 Citizenship: United States of America

2. Name: Donald E. FRITZSCHE
 Residence: Chicago Heights, Illinois
 Post Office Address: 431 Winston Lane
 Chicago Heights, Illinois 60411
 Citizenship: United States of America

3. Name: David W. HARTER
 Residence: New Port Richey, Florida
 Post Office Address: 12609 Lacey Drive
 New Port Richey, Florida 34654
 Citizenship: United States of America

4. Name: Ronald Jay FORNI
 Residence: Littleton, Massachusetts
 Post Office Address: 4 Cricket Lane
 Littleton, Massachusetts 01460
 Citizenship: United States of America

5. Name: Malcolm REAY
 Residence: Drums, Pennsylvania
 Post Office Address: 57 Pamela Drive
 Drums, Pennsylvania 18222
 Citizenship: United Kingdom

6. Name: Jerry SANK
 Residence: Palm Harbor, Florida
 Post Office Address: 1801 Maplewood Court
 Palm Harbor, Florida 34684
 Citizenship: United States of America

COMBINED DECLARATION, POWER
OF ATTORNEY AND PETITION

7. Name: David McFADDEN
Residence: Lexington, Massachusetts
Post Office Address: 9 Pine Knoll Road
Lexington, Massachusetts 02173
Citizenship: United States of America
8. Name: Douglas S. JONES
Residence: New Port Richey, Florida
Post Office Address: 8080 Sycamore Drive
New Port Richey, Florida 34654
Citizenship: United States of America

declare that we have reviewed and understand the contents of the specification and claims of U.S. Patent Application Serial No. 09/893,327, and we verily believe that we are the original, first and joint inventors or discoverers of the invention or discovery in

CONVECTION OVEN HAVING MULTIPLE AIRFLOW PATTERNS

described and claimed in an application for Letters Patent of the United States having Serial No. 09/893,327, and a Filing Date of 27 June 2001; that we do not know and do not believe that this invention was ever known or used in the United States before our invention or discovery thereof; that to the best of our knowledge and belief the invention has not been in public use or on sale in the United States more than one year prior to our application, or patented or made the subject of an inventors' certificate in any foreign country prior to the date of our application on an application filed by ourselves or our legal representatives or assigns more than twelve months prior to our application in this country; that we acknowledge our duty to disclose information of which we are aware which is material to the examination of this application in accordance with 37 C.F.R. 1.56(a); and that no application for patent or inventors' certificate on this invention or discovery has been filed by us or our legal representatives or assigns in any country foreign to the United States, except as follows:

None

POWER OF ATTORNEY

We hereby appoint the following attorneys to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith:

Thomas W. Speckman	Registration No. 22,617
Douglas H. Pauley	Registration No. 33,295

COMBINED DECLARATION, POWER
OF ATTORNEY AND PETITION

Maxwell J. Petersen	Registration No. 32,772
Charles C. Kinne	Registration No. 31,631
Mark E. Fejer	Registration No. 34,817
Nick C. Kottis	Registration No. 31,974
Kevin D. Erickson	Registration No. 38,736
Roland W. Norris	Registration No. 32,799
Melanie I. Rauch	Registration No. 40,924
Eric T. Krischke	Registration No. 42,769
Margaret M. Crosby	Registration No. 40,969

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FAX (847) 490-1403

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Eric T. Krischke
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(847) 490-1403 - Fax

PETITION

Wherefore we Pray that Letters Patent be granted to us for the invention or discovery described and claimed in the specification and claims of U.S. Patent Application Serial No. 09/893,327, and we hereby subscribe our names to the specification and claims of U.S. Patent Application Serial No. 09/893,327, Declaration, Power of Attorney and this Petition.

DECLARATION

The undersigned further declare that all statements made herein of their knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date

James T. COLE

COMBINED DECLARATION, POWER
OF ATTORNEY AND PETITION

Date

Donald E. FRITZSCHE

Date

David W. HARTER

Date

9/27/01

Ronald Jay Forni
Ronald Jay FORNI

Date

Malcolm REAY

Date

Jerry SANK

Date

David McFADDEN

Date

Douglas S. JONES

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declare that we have reviewed and understand the contents of the attached specification and claims and we verily believe that we are the original, first and joint inventors or discoverers of the invention or discovery in

CONVECTION OVEN HAVING MULTIPLE AIRFLOW PATTERNS

described and claimed in the attached specification; that we do not know and do not believe that this invention was ever known or used in the United States before our invention or discovery thereof; that to the best of our knowledge and belief the invention has not been in public use or on sale in the United States more than one year prior to our application, or patented or made the subject of an inventors' certificate in any foreign country prior to the date of our application on an application filed by ourselves or our legal representatives or assigns more than twelve months prior to our application in this country; that we acknowledge our duty to disclose information of which we are aware which is material to the examination of this application in accordance with 37 C.F.R. 1.56(a); and that no application for patent or inventors' certificate on this invention or discovery has been filed by us or our legal representatives or assigns in any country foreign to the United States, except as follows:

None

POWER OF ATTORNEY

We hereby appoint the following attorneys to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith:

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Douglas H. Pauley	Registration No. 33,295
Maxwell J. Petersen	Registration No. 32,772

**COMBINED DECLARATION, POWER
OF ATTORNEY AND PETITION**

Charles C. Kinne
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Nick C. Kottis
Kevin D. Erickson
Roland W. Norris
Melanie I. Rauch
Eric T. Krischke
Margaret M. Crosby

Registration No. 31,631
Registration No. 34,817
Registration No. 31,974
Registration No. 38,736
Registration No. 32,799
Registration No. 40,924
Registration No. 42,769
Registration No. 40,969

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PETITION

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04/25/01
Date

James T. Cole
James T. COLE

COMBINED DECLARATION, POWER
OF ATTORNEY AND PETITION

4/30/01
Date

5/21/01
Date

Date

6/19/01
Date

5/22/01
Date

11/11/01
Date

5/21/01
Date

Donald E. Fritzsch
Donald E. FRITZSCHE

David W. Harter
David W. HARTER

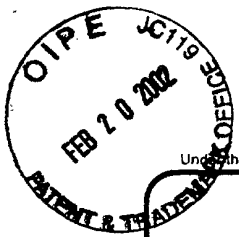
Ronald Jay FORNI

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J. W. Sank
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David McFadden
David McFADDEN

Douglas S. Jones
Douglas S. JONES



**CHANGE OF
CORRESPONDENCE ADDRESS**
Application

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

Application Number	09/810,831
Filing Date	16 March 2001
First Named Inventor	KHINKIS, M. J.
Art Unit	3749
Examiner Name	Rinehart, K.
Attorney Docket Number	IGT-1427

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Please change the Correspondence Address for the above-identified application to:

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Type Customer Number here

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OR

<input checked="" type="checkbox"/> Firm or Individual Name	Mark E. Fejer				
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Address	1700 South Mount Prospect Road				
City	Des Plaines	State	Illinois	ZIP	60018
Country	United States of America				
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This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

I am the :

- ☐ Applicant/Inventor.
- ☐ Assignee of record of the entire interest.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ Attorney or Agent of record.
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Typed or Printed Name
Mark E. Fejer (Regis. No. 34,817)

Signature

Mark E. Fejer

Date

25 January 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*

☐ *Total of _____ forms are submitted.

Burden Hour Statement This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 25 January 2002

Date

Signature

Mark E. Fejer